

Practitioner's Docket No. 2003DE112

JK-W
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Sebastian Hoerold

Application No.: 10/840,163

Group No.: 1714

Filed: May 6, 2004

For: Flame Retardant-Nanocomposite Combination for Thermoplastic Polymers

Commissioner for Patent
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

CERTIFICATION UNDER 37 C.F.R. Sections 1.8(a) and 1.10*
(When using Express Mail, the Express Mail label number is mandatory;
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

deposited with the United States Postal Service in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

37 C.F.R. section 1.8(a)

37 C.F.R. section 1.10*

with sufficient postage as first class mail. as "Express Mail Post Office to Address" Mailing Label No. _____ (mandatory)

TRANSMISSION

transmitted by facsimile to the Patent and Trademark Office (703) _____.
Date: Okt. 12, 2004

Jackie L. Wise
Signature

Jackie L. Wise
(type or print name of person certifying)

***WARNING:** Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. section 1.10(b).
"Since the filing of correspondence under [section] 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.



Applicant: Sebastian Hoerold

Serial No. 10/840,163

Page 2

Dear Sir:

In accordance with the duty of disclosure provisions of 37 CFR §1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the subject application. No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than search report(s) from a counterpart foreign application or a PCT International Search Report, if submitted herewith).

1. Enclosures accompanying this Information Disclosure Statement are:
 - 1a. Form PTO-1449.
 - 1b. Copies of Information Disclosure Statement citations.
 - 1c. PCT Search Report
 - 1d. Citation of Co-pending U.S. Applications.
2. This Information Disclosure Statement is filed under 37 CFR §1.97(b) with the filing of the U.S. patent application. Accordingly, no fee or §1.97(e) Statement is required.
3. This Information Disclosure Statement is filed under 37 CFR §1.97(b) before the latter of three months after the U.S. patent application filing date or the first Office Action on the merits. Accordingly, no fee or §1.97(e) Statement is required.
4. This Information Disclosure Statement is filed under 37 CFR §1.97(c) after the first Office Action on the merits, but before a Final Office Action or a Notice of Allowance. The \$180.00 fee set forth in 37 CFR §1.17(p) in accordance with 37 CFR §1.97(c) is to be charged to Deposit Account No. 03-2060.
5. This Information Disclosure Statement is filed under 37 CFR §1.97(e) in that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. Accordingly, no fee is required.
6. This Information Disclosure Statement is filed in conjunction with a Request For Continued Examination. Accordingly, no fee or §1.97(e) Statement is required.

Applicant: Sebastian Hoerold

Serial No. 10/840,163

Page 3

7. The English language documents identified by reference in the TRANSLATION Section of the foreign patents list of the attached 1449 Form are believed to be a concise statement, or the equivalent or substantial equivalent to the foreign language documents cited in the attached 1449 Form.
8. In addition to the documents cited, the Office's attention is directed to the following co-pending U.S. Application Serial Number(s), copies of which are attached for the convenience of the Office:
 - a. US 10/839,991, filed March 4, 2004, Eisentraeger et al.

Respectfully submitted,



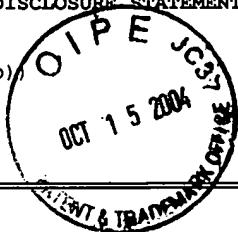
Anthony A. Bisulca

Registration No. 40,913

CUSTOMER NO. 25,255

Clariant Corporation
Industrial Property Department
4000 Monroe Road
Charlotte, North Carolina 28205
Telephone: 704/331-7151
Facsimile: 704/331-7707

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 2003DE112	SERIAL NO. 10/840,163
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (37 CFR 1.98(b))		APPLICANT: Sebastian Hoerold	
		FILING DATE: 5/6/2004 GROUP: 1714	



U.S. PATENT DOCUMENTS

Examiner Initial	Patent Number	Issue Dte	Patentee	Class	Subclass	Filing Date If Appropriate
AA	6,255,371	7/3/01	Schlosser et al.			
AB	6,750,282	6/15/04	Schall et al.			
AC	6,509,401	1/21/03	Jenewein et al.			
AD	6,207,736	3/27/01	Nass et al.			
AE	4,036,811	7/19/77	Noetzel et al.			
AF	3,900,444	8/19/75	Kleiner et al.			
AG	5,780,534	7/14/98	Kleiner et al.			
AH	6,013,707	1/11/00	Kleiner et al.			
AI	4,546,126	10/8/85	Breitenfellner et al.			
AJ	6,365,071	4/2/02	Jenewein et al.			
AK						

FOREIGN PATENT OR PUBLISHED FOREIGN APPLICATION

	DOCUMENT NUMBER	PUB. DATE	COUNTRY			TRANSLATION
BA	DE 19933901	2/1/01	Germany			See AA above
BB	DE 19921472	11/16/00	Germany			See AB above
BC	DE 19920276	11/9/00	Germany			English Abstract
BD	DE 19737727	7/8/99	Germany			See AC above
BE	DE 19734437	2/11/99	Germany			See AD above
BF	DE 19614424	10/16/97	Germany			See AJ above
BG	DE 2447727	4/8/76	Germany			See AE above
BH	DE 2252258	5/9/74	Germany			See AF above
BI	EP 0699708	3/6/96	EPO			See AG & AH above
BJ	EP 0132228	1/23/85	EPO			See AI above
BK	WO 99/29767	6/17/99	WIPO			English
BL	WO 97/39053	10/23/97	WIPO			See AJ Above
BM						

EXAMINER

DATE CONSIDERED

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)**